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(Signature & date)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

File application of _____:

January 20, 2004

Toshiharu Furukawa, et al. :

Group Art Unit: Not yet assigned

Serial No. 10/707,726 :

Examiner: Not yet assigned

Filed: 1/07/04 :

International Business Machines Corporation
2070 Route 52
Hopewell Junction, NY 12533

TITLE: VERTICAL CARBON NANOTUBE FIELD EFFECT TRANSISTOR

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure set forth in 37 C.F.R. 1.56, and further pursuant to the provisions of 37 C.F.R. 1.97 and 1.98, applicants hereby respectfully submit copies of the non-US patents and publications as listed on Form PTO-1449, attached hereto.

In citing these documents, no representation is made nor intended as to the pertinency or non-pertinency of the art, that better art than that listed is not available, or that other art is not applicable.

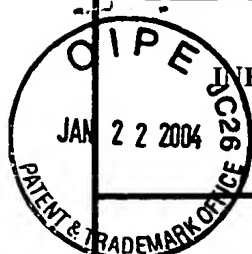
No fee is believed to be due for this submission. If any fees are required, however, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 09-0458.

Respectfully submitted,
Toshiharu Furukawa, et al.

By _____

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FIS920030316US1



INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)

FIS920030316US1

Application Number

10/707,726

Applicant(s)

TOSHIHARU FURUKAWA, ET AL.

Filing Date

1/07/04

Group Art Unit

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|--|---|
| | | Nano Letters Vol. 1, No. 9, Sept. 2001, "CARBON NANOTUBE INTER-AND INTRAMOLECULAR LOGIC GATES"; by I. V. Derycke, et al. |
| | | Scientific American, Dec. 2000, "NANOTUBES FOR ELECTRONICS", Philip Collins, et al. pp. 62-69 |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.